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PATENT

Case Docket No. HYLEE53.001AUS
Date: November 9, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yang et al.
App. No. : 09/921,013
Filed : July 27, 2001
For : A NOVEL
MICROORGANISM
ISOLATED FROM
CHINESE ELM (ULMUS
SP.) AND PROCESS FOR
PREPARING
EXOPOLYSACCHARIDES
BY EMPLOYING THE
MICROORGANISM

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for
Patents, Washington, D.C. 20231, on

November 9, 2001
(Date)
Paul C. Stemhardt
Paul C. Stemhardt, Reg. No. 30,806

Group Art Unit : 1638

TRANSMITTAL LETTER

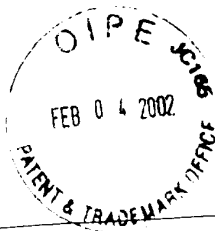
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),
which was mailed by the Office on September 17, 2001, enclosed are:

- (X) An executed Declaration by Inventors.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:



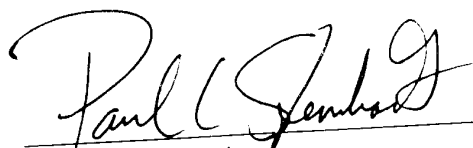
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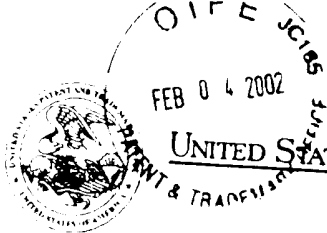
PATENT

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FILING FEE				\$740
Total Claims	23 - 20 =	3 ×	\$ 18	\$ 54
Independent Claims	3 - 3 =	0 ×	\$ 80	\$ 0
If application contains any multiple dependent claims(s), then add			\$270	\$ 0
FEE FOR EXTENSION OF TIME		0 months		\$ 0
SURCHARGE 37 CFR 1.16(e)				\$130
TOTAL OF ABOVE CALCULATIONS				\$ 0
TOTAL FEES SUBMITTED HERewith				\$924

- (X) A check in the amount of \$924 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.


Paul C. Steinhardt
Registration No. 30,806
Attorney of Record



FEB 04 2002

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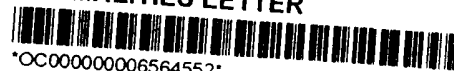
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,013	07/27/2001	Young-Lyeol Yang	HYLEE53.001AUS

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 5840

FORMALITIES LETTER



OC000000006564552

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee ⁷⁴⁰is missing.
Applicant must submit \$710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$54.
 - \$54 for 3 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.

A copy of this notice **MUST** be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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